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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA	)	No. CR 01 - 0018VRW
	)	
	)	INFORMATION
v.	)	
	)	VIOLATION:
	)	Title 15, United States Code,
UENO FINE CHEMICALS	)	Section 1 (Price Fixing,
INDUSTRY, LTD.	)	Volume Allocation)
	)	
Defendant.	)	San Francisco Venue
	)	Filed: January 23, 2001

The United States of America, acting through its attorneys, charges:

I.

DESCRIPTION OF THE OFFENSE

1. UENO FINE CHEMICALS INDUSTRY, LTD. ("UENO") is made a defendant on the charge stated below.

2. From in or about January 1979 until in or about April 1996, defendant UENO and its coconspirators, entered into and engaged in a combination and conspiracy to suppress and eliminate competition by fixing the prices and allocating the volumes of sorbates to be sold in the United States and elsewhere. The

1 combination and conspiracy engaged in by the defendant and its coconspirators was  
2 in unreasonable restraint of interstate and foreign trade and commerce in violation  
3 of Section 1 of the Sherman Act (15 U.S.C. § 1).

4         3.       The charged combination and conspiracy consisted of a continuing  
5 agreement, understanding, and concert of action among the defendant and its  
6 coconspirators, the substantial terms of which were:

7               (a)     to agree to fix and maintain prices and to coordinate price  
8                       increases for sorbates to be sold in the United States and  
9                       elsewhere; and

10              (b)     to agree to allocate among major sorbates producers the volumes  
11                       of sorbates to be sold in the United States and elsewhere.

12         4.       For the purpose of forming and carrying out the charged combination  
13 and conspiracy, the defendant and its coconspirators did those things that they  
14 combined and conspired to do, including, among other things:

15              (a)     participating in meetings and conversations to discuss the prices  
16                       and volumes of sorbates to be sold in the United States and  
17                       elsewhere;

18              (b)     agreeing, during those meetings and conversations, to charge  
19                       prices at certain levels and otherwise to increase and maintain  
20                       prices of sorbates to be sold in the United States and elsewhere;

21              (c)     agreeing, during those meetings and conversations, to allocate  
22                       among major producers of sorbates the volumes of sorbates to be  
23                       sold in the United States and elsewhere;

24              (d)     issuing price announcements and price quotations in accordance  
25                       with the agreements reached; and

26              (e)     exchanging information on sales of sorbates in the United States

1 and elsewhere, for the purpose of monitoring and enforcing  
2 adherence to the agreed-upon prices and sales volumes.

3 II.

4 DEFENDANT AND COCONSPIRATORS

5 5. UENO is a corporation organized and existing under the laws of  
6 Japan. During the period covered by this Information, UENO was engaged in the  
7 business of producing and, through UENO's trading company and its U.S.  
8 subsidiary, selling sorbates for resale to customers in the United States and  
9 elsewhere.

10 6. Various corporations and individuals, not made defendants in this  
11 Information, participated as coconspirators in the offense charged in this  
12 Information and performed acts and made statements in furtherance of it.

13 7. Whenever in this Information reference is made to any act, deed, or  
14 transaction of any corporation, the allegation means that the corporation engaged  
15 in the act, deed, or transaction by or through its officers, directors, employees,  
16 agents, or other representatives while they were actively engaged in the  
17 management, direction, control, or transaction of its business or affairs.

18 III.

19 TRADE AND COMMERCE

20 8. Sorbates are chemical preservatives used primarily as mold inhibitors  
21 in high-moisture and high-sugar food products. All references to sorbates in this  
22 Information include the products potassium sorbate and sorbic acid.

23 9. During the period covered by this Information, the defendant and its  
24 coconspirators sold and distributed sorbates in a continuous and uninterrupted flow  
25 of interstate and foreign trade and commerce to customers located in states or  
26 countries other than the states or countries in which the defendant and its

1 coconspirators produced sorbates.

2       10.    The business activities of the defendant and its coconspirators that are  
3 the subject of this Information were within the flow of, and substantially affected,  
4 interstate and foreign trade and commerce.

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1 IV.

2 JURISDICTION AND VENUE

3 11. The combination and conspiracy charged in this Information was  
4 carried out, in part, in the Northern District of California, within the five years  
5 preceding the filing of this Information.

6 ALL IN VIOLATION OF TITLE 15, UNITED STATES CODE, SECTION 1.

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